



REQUEST FOR RESTRICTION OF PROCESSING OF PERSONAL DATA OF NATURAL PERSONS

DETAILS OF THE DATA CONTROLLER

COMISIÓN NACIONAL DEL MERCADO DE VALORES (Tax Identification code (CIF)), Calle Edison nº 4, 28006 Madrid.

DETAILS OF THE DATA SUBJECT WHO OWNS THE PERSONAL DATA (please provide a copy of the identification document)

I,, holder of ID/Passport no....., domiciled at no., locality, province, post code and e-mail

DETAILS OF THE REPRESENTATIVE (if applicable, provide a copy of the identification document and of the document proving representation)

I,, holder of ID/Passport no., domiciled at no., locality, province, post code and e-mail, in the name and on behalf of the data subject identified above, by means of the representation document dated

The DATA SUBJECT, in his/her own name and duly identified by means of the accompanying documentation, or through his/her REPRESENTATIVE, duly accredited by means of the accompanying documentation, hereby exercises the **RIGHT TO RESTRICTION OF PROCESSING** over the data detailed below:

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which are subject to the following processing activities by the data controller:

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And all this in accordance with the provisions of Articles 12 and 16 of the Spanish Organic Data Protection Law¹ and Articles 12 and 18 of the General Data Protection Regulation², because he/she considers that he/she is in one of the following situations (tick as appropriate)

- a) The data subject has already challenged the accuracy of the personal data for a period enabling the controller to verify the accuracy of the personal data.
- b) The data subject considers that the processing is unlawful, and however, opposes the erasure of the personal data and requests the restriction of their use instead.
- c) The data subject considers that the controller no longer needs the personal data for the purposes of the processing, but they are required by the data subject for the establishment, exercise or defence of legal claims.
- d) the data subject has objected to processing pursuant to Article 21(1) of the General Data Protection Regulation pending the verification whether the legitimate grounds of the controller override those of the data subject.

Explain the reasons why you consider yourself to be in the aforementioned situation.

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¹ Spanish Organic Law 3/2018, of 5 December, on Personal Data Protection and the guarantee of digital rights.
² REGULATION (EU) 2016/679 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL, of 27 April 2016, on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, repealing Directive 95/46/EC (GDPR).



Consequently,

I HEREBY REQUEST,

1. That the restriction of the personal data in respect of which the right is exercised be agreed.
2. To be notified of the result of the rectification carried out by means of a communication sent to the e-mail address indicated in the personal details section.
3. That in the event that it is decided that it is not appropriate to agree to carry out all or part of the requested rectification, you will be notified by means of a communication sent to the e-mail address indicated in the personal details section.

The SPANISH NATIONAL SECURITIES MARKET COMMISSION hereby informs you that your data gathered in this document will be subject to processing. Therefore, before signing this application, you should read the **information on data protection** set out below.

Data controller	COMISIÓN NACIONAL DEL MERCADO DE VALORES (Tax Identification code (CIF)), Calle Edison nº 4, 28006 Madrid. Contact details of the Data Protection Officer http://www.cnmv.es/portal/quees/Ejercicio-Derechos.aspx
Purpose	Your data are gathered for the purpose of identifying you as the person authorised to make this request, as well as for the purpose of sending you the relevant communications that may arise as a result of your request.
Legal basis	Your data are gathered in accordance with the CNMV's legal obligation to respond to your requests for your personal data rights.
Data retention period	The data will be kept for the period of time necessary to process this application, to provide for the possible liabilities that may arise from this purpose and the processing of the data, in addition to the periods of retention of documents established in the regulations on files and Spanish documentary heritage.
Recipients	Your data will be processed only by the different departments of the CNMV involved in the assessment of your application. Your data may only be disclosed to third parties from whom we require the information strictly necessary to evaluate your request, as well as to competent data protection authorities or bodies.
Rights	As the owner of the data requested in this document, you may exercise your rights of access, rectification, deletion and portability of your data, as well as limitation and objection to its processing. You have the right to file a complaint with the Spanish Data Protection Agency in the event that you consider that your rights over your personal data have been infringed and, prior to filing such a complaint, to contact the Data Protection Officer of the Spanish National Securities Market Commission.

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Signed:

Data subject	Representative