



## **ESMA Consultation Paper on review of the RTS on the information to be provided in an application for authorisation and registration under the Benchmarks Regulation**

**Link to the paper:** [Consultation Paper on review of RTS on authorisation and registration under Benchmarks Regulation](#)

### **1.- Target participants**

The consultation paper (CP) is specifically of interest of interest to administrators of benchmarks.

### **2.- Information Note**

Under Delegated Regulation (EU) 2018/1646, an application for authorisation or registration of an administrator located in the Union needs to include information on (i) the applicant and (ii) the benchmarks provided by the applicant. The related regulatory technical standards (RTS), published in 2018, needs to amend to reflect the changes made to the last regulatory developments regarding the recognition regime to ensure equal treatment of EU based vs third-country-based administrators. The ESMA CP suggests requesting additional information or further specifying some of the information already requested in the existing RTS in order to allow National Competent Authorities and ESMA to properly assess whether the applicant has established all the necessary arrangements to meet the requirements of the Benchmarks Regulation (Regulation (EU) 2016/1011).

The main content of the consultation paper is split in two sections:

- **Section 1** explains the background to ESMA's proposals to amend the RTS.
- **Section 2** sets out the proposed amendments to the RTS and the reasoning behind.

The CP also includes in the annexes a first version of the review of the RTS together with a consolidated version of the RTS and a preliminary high-level cost-benefit analysis.

### **3.- Submission of comments**

The deadline for submitting comments is 31 January 2023.

Respondents may send their comments through ESMA's website: [www.esma.europa.eu](http://www.esma.europa.eu). The paper of this consultation (ESMA81-393-607) and the Response Form are available in the section [Consultation](#).

Likewise, please send a copy of your answers to the CNMV to the following email address: [documentosinternacional@cnmv.es](mailto:documentosinternacional@cnmv.es)

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